



Atty. Dkt. No. 088941-0192

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shusaku MITSUHASHI

Title: MEMBER CARD ADMINISTRATION SYSTEM USING A  
REWRITABLE CARD, METHOD THEREFOR, AND  
RECORDING MEDIUM RECORDED WITH PROGRAM  
THEREFOR

Appl. No.: 09/824,246

Filing Date: 04/03/2001

Examiner: Unknown

Art Unit: 2131

RECEIVED

NOV 07 2003

Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of a document known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed document is being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits. It is also being submitted within three months of a communication from a foreign patent office in a counterpart application.

**CERTIFICATION**

The undersigned hereby certifies in accordance with 37 C.F.R. 1.97(e)(1) that the item listed on the Form PTO SB/08 submitted with this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

**RELEVANCE OF EACH DOCUMENT**

The relevance of the foreign-language document is described in the present specification listed document was cited by the Japanese Patent Office (JPO) in connection with a counterpart application. In reference to the cited reference, the JPO stated as follows:

“Remarks

The inventions related to the following claims of the present application could have been easily invented by a person with ordinary knowledge of technology in the field to which the invention belongs based on the inventions recited in the publications below, which had been distributed in Japan or abroad prior to the application, and therefore cannot receive a patent according to the stipulations of Article 29, Paragraph 2 of the Japan Patent Law.

Note (For a list of the cited literature, see the List of Cited Literature.)

Claims 1 to 15

Cited Literature 1

Remarks:

Disclosed in Cited Literature 1 is an invention that can conduct concentrated (batch) management of customer points by storing in the servers at each store issuing those points the number of points maintained in relation to the respective customers of the virtual mall. ([0033], [0034], [0052], [0054], etc.)

(Further, it is not clear what function the "post-script card" has other than recording the customer ID, and it is not clear what mutual relationship this "post-script card" has with the "service card".)

If new reasons for rejection are discovered, you will be notified of the reasons for rejection.

List of Cited Literature

1. Japanese Unexamined Patent Application Publication H10-78989

Record of Prior Art Literature Search Results

Fields searched      IPC 7<sup>th</sup> Ed.      G06F17/60-19/00  
DB name

Prior Art Literature

This Record of Prior Art Literature Search Results does not constitute a reason for rejection."

Applicant's statements regarding the Japanese Office Action are based on a partial translation that Applicant's representative obtained. These statements should in no way be considered as an agreement by Applicant with, or an admission of, which is asserted in the Japanese Office Action.

An English language abstract of the listed document is enclosed. An English translation of the document is not readily available. However, the absence of such translation does not relieve the PTO from its duty to consider the submitted foreign language document (37 CFR §1.98 and MPEP §609).

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date 11/6/03

By 

RN 38,072

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitution for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Date Submitted: November 6, 2003 Use as many sheets as necessary				<b>Complete if Known</b>	
				Application Number	09/824,246
				Filing Date	04/03/2001
				First Named Inventor	Shusaku MITSUHASHI
				Group Art Unit	2131
				Examiner Name	Unknown
				Attorney Docket Number	088941-0192
Sheet 1 of 1					

[illegible][illegible]

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A2	English Abstract for JP 10-78989.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.